



**CERTIFICATE OF MAILING**

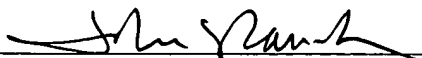
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

April 21, 2004

Date of Deposit

John G. Rauch

Name of Applicant, Assignee or  
Registered Representative



Signature

4/21/2004

Date of Signature

Our Case No.: 10808/118  
(Infineon Docket No. 2003P54810US)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Turner et al.

Serial No.: 10/780,409

Filing Date: February 17, 2004

For: REMOVAL OF EMBEDDED  
PARTICLES DURING CHEMICAL  
AND MECHANICAL POLISHING

Examiner: To be assigned

Group Art Unit No.: To be assigned

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and

made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

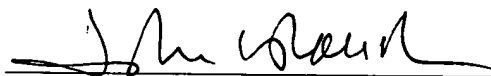
<b>No.</b>	<b>Date</b>	<b>Name</b>
5,816,891	10/6/98	Woo
6,110,820	8/29/00	Sandhu et al.
6,155,913	12/5/00	Quek
6,221,774 B1	4/24/01	Malik
6,354,926 B1	3/12/02	Walsh

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

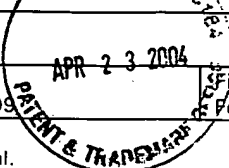
Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John G. Rauch", is written over a horizontal line.

John G. Rauch  
Registration No. 37,218  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(312) 321-4200

			TRANSMITTAL LETTER		Case No. 10808/118 (Infineon Docket No. 2003P54810US)
Serial No. 10/780,409	Filing Date February 17, 2004	Examiner To be assigned	Group Art Unit To be assigned		
Inventor(s) Turner et al.					
Title of Invention REMOVAL OF EMBEDDED PARTICLES DURING CHEMICAL AND MECHANICAL POLISHING					

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter; Information Disclosure Statement; Form PTO-1449; cited references A1-A5 and return postcard.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

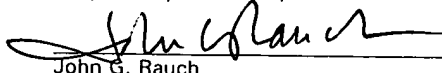
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$9 =	
x 43 =	
+ \$145 =	
Total add'l fee	\$

Other Than Small Entity	
Rate	Add'l Fee
x \$18 =	
x \$86 =	
+ \$290 =	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
 John G. Rauch  
 Registration No. 37,218  
 Attorney for Applicant  
 Customer No. 00757 - Brinks Hofer Gilson Lione

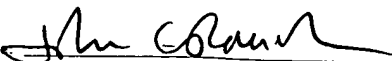
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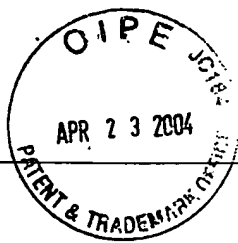
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 Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on 4/21/04

Date:

4/21/04

Signature





FORM PTO-1449	SERIAL NO. 10/780,409	CASE NO. 10808/118 (Infineon Docket No. 2003P54810US)
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE February 17, 2004	GROUP ART UNIT To be assigned
APPLICANT(S): Turner et al.		

#### REFERENCE DESIGNATION

#### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,816,891	10/6/98	Woo		
	A2	6,110,820	8/29/00	Sandhu et al.		
	A3	6,155,913	12/5/00	Quek		
	A4	6,221,774 B1	4/24/01	Malik		
	A5	6,354,926 B1	3/12/02	Walsh		

#### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO

#### OTHER ART – NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

EXAMINER INITIAL		

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.